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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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|---|--|--|--|--|--|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | Attorney Docket No. | | 500.33482CX5 | |
| | | First Inventor | | YANO, TAKASHI | |
| | | Title | | SPREAD SPECTRUM COMMUNICATION SYSTEM AND TRANSMISSION POWER CONTROL METHOD THEREFOR | |
| | | Express Mail Label No. | | | |
| APPLICATION ELEMENTS | | | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | |
| SEE MPEP chapter 600 concerning utility patent application contents. | | | | | |
| <div><div><div>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></div><div>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</div><div>3. <input checked="" type="checkbox"/> Specification [Total Pages: 30] <small>(preferred arrangement set forth below)</small><ul style="list-style-type: none">-Descriptive title of the invention-Cross Reference to Related Applications-Statement Regarding Fed sponsored R & D-Reference to sequence listing, a table, or a computer program listing appendix-Background of the Invention-Brief Summary of the Invention-Brief Description of the Drawings (if filed)-Detailed Description-Claim(s)-Abstract of the Disclosure</div><div>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages: 12]</div><div>5. Oath or Declaration [Total Pages: 2]<ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small><ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</div><div>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div></div><div><div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div><div>8. Nucleotide and/or Amino Acid Sequence Submission <i>fit applicable, all necessary</i><ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statements verifying identity of above copies</div></div></div> | | | | | |
| ACCOMPANYING APPLICATION PARTS | | | | | |
| <div>9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))</div> <div>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></div> <div>11. <input type="checkbox"/> English Translation Document (if applicable)</div> <div>12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449</div> <div>13. <input type="checkbox"/> Preliminary Amendment</div> <div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></div> <div>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></div> <div>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <small>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</small></div> <div>17. <input checked="" type="checkbox"/> Other: Credit Card Payment Form</div> | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/988,137 Prior application information: Examiner: _____ Group Art Unit: _____ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | | 020457 <small>(Insert Customer No. or Attach bar code label here)</small> | | or <input type="checkbox"/> Correspondence address below | |
| Name | | ANTONELLI, TERRY, STOUT & KRAUS, LLP | | | |
| Address | | | | | |
| City | | State | | Zip Code | |
| Country | | Telephone | | Fax | |
| Name | | Registration No. (Attorney/Agent) | | Date | |
| Signature | | Date | | July 9, 2003 | |

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| FEE TRANSMITTAL for FY 2003 | | Complete if Known | |
|---|--|--|------------------|
| Effective 01/01/2003. Patent fees are subject to annual revision. | | Application Number | Not yet assigned |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | Filing Date | July 9, 2003 |
| TOTAL AMOUNT OF PAYMENT (\$) | | First Named Inventor | YANO, TAKASHI |
| (\$ 750) | | Examiner Name | |
| METHOD OF PAYMENT (check all that apply) | | Art Unit | |
| <input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None | | Attorney Docket No. | 500.33482CX5 |
| <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 01-2135 Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP | | FEE CALCULATION (continued) | |
| The Commissioner authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fees indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account. | | 3. ADDITIONAL FEES | |
| FEE CALCULATION | | | |
| 1. BASIC FILING FEE | | | |
| Large Entity Fee Code (\$) | | Small Entity Fee Code (\$) | |
| 1001 750 | | 2001 375 | |
| 1002 330 | | 2002 165 | |
| 1003 520 | | 2003 260 | |
| 1004 750 | | 2004 375 | |
| 1005 160 | | 2005 80 | |
| Fee Description | | Fee Description | |
| Utility filing fee | | Utility filing fee | |
| Design filing fee | | Design filing fee | |
| Plant filing fee | | Plant filing fee | |
| Reissue filing fee | | Reissue filing fee | |
| Provisional filing fee | | Provisional filing fee | |
| SUBTOTAL (1) | | 750 | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | | |
| Total Claims 1 | | -20** = | |
| Indep. Claims 1 | | -3** = | |
| Multiple Dependent | | | |
| Extra Claims | | Fee from below | |
| x 18 | | = | |
| x 84 | | = | |
| SUBTOTAL (2) \$ | | | |
| **or number previously paid, if greater; For Reissues, see above. | | | |
| Large Entity Fee Code (\$) | | Small Entity Fee Code (\$) | |
| 1202 18 | | 2202 9 | |
| 1201 84 | | 2201 42 | |
| 1203 280 | | 2203 140 | |
| 1204 84 | | 2204 42 | |
| 1205 18 | | 2205 9 | |
| Fee Description | | Fee Description | |
| Claims in excess of 20 | | Claims in excess of 20 | |
| Independent claims in excess of 3 | | Independent claims in excess of 3 | |
| Multiple dependent claim, if not paid | | Multiple dependent claim, if not paid | |
| ** Reissue independent claims over original patent | | ** Reissue independent claims over original patent | |
| ** Reissue claims in excess of 20 and over original patent | | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) \$ | | | |
| **or number previously paid, if greater; For Reissues, see above. | | | |
| SUBMITTED BY | | Complete (if applicable) | |
| Name (Print/Type) | | Registration No. | |
| Carl I. Brundage | | 29,621 | |
| Signature | | Telephone | |
| | | 703 312 6600 | |
| | | Date | |
| | | 07/09/2003 | |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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